

LR Parsing

CMPT 379: Compilers

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Top-Down vs. Bottom Up

Grammar: $S \rightarrow A B$

Input String: ccbca

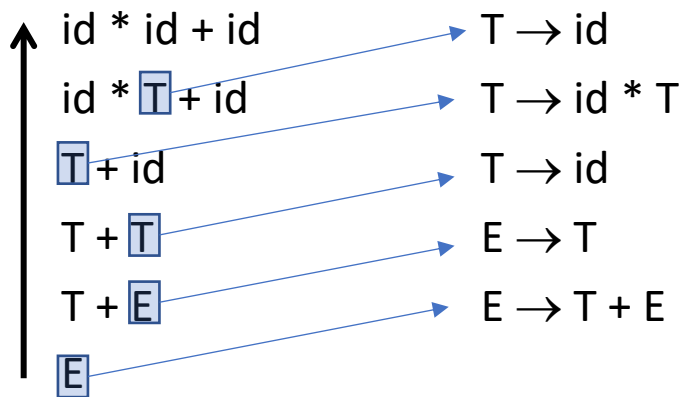
$A \rightarrow c \mid \varepsilon$

$B \rightarrow cbB \mid ca$

Top-Down/leftmost		Bottom-Up/rightmost	
$S \Rightarrow AB$	$S \rightarrow AB$	$ccbca \Leftarrow Acbca$	$A \rightarrow c$
$\Rightarrow cB$	$A \rightarrow c$	$\Leftarrow AcbB$	$B \rightarrow ca$
$\Rightarrow ccbB$	$B \rightarrow cbB$	$\Leftarrow AB$	$B \rightarrow cbB$
$\Rightarrow ccbca$	$B \rightarrow ca$	$\Leftarrow S$	$S \rightarrow AB$

Bottom-Up parsing

- Bottom-up parsing reduces a string to the start symbol by inverting the derivation



$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow \text{id}$

$T \rightarrow \text{id} * T$

$T \rightarrow (E)$

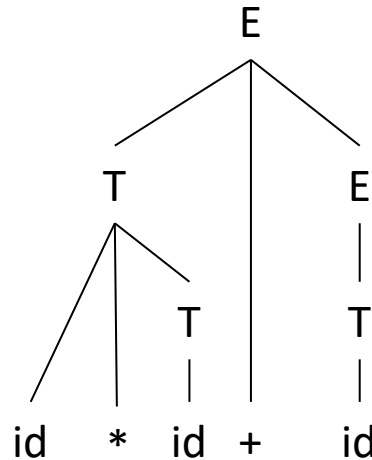
This is a rightmost derivation!

Bottom-up parse tree construction

Q: Write down the right sequence of shift-reduce actions and draw the parse tree for input $\text{id} * (\text{id} * \text{id})$

- A shift-reduce parser traces a rightmost derivation in reverse

$\text{id} * \text{id} + \text{id}$
 $\text{id} * T + \text{id}$
 $T + \text{id}$
 $T + T$
 $T + E$
 E



Parse tree

$E \rightarrow T + E$
 $E \rightarrow T$
 $T \rightarrow \text{id}$
 $T \rightarrow \text{id} * T$
 $T \rightarrow (E)$

Notation

- Split string into two substrings: $\alpha \bullet \beta$
 - where $\alpha \in (N \cup T)^*$ and $\beta \in T^*$
 - Right sub-string is not examined yet; has only terminals
 - Left sub-string has terminals and non-terminals
- The dividing point is marked by a \bullet
 - \bullet is not a part of the string
- Initially, all input is unexamined $\bullet x_1 x_2 \dots x_n$

Shift-Reduce Parsing

- Shift-reduce parsing uses only two kinds of actions:

- **Shift**: Move \bullet one place to the right

- Shift a terminal to the left string

$ABC \bullet xyz \Rightarrow ABCx \bullet yz$

- **Reduce**: Apply a CFG rule to the string left of the \bullet

- If $A \rightarrow xy$ is a production, then reduce

$Cbxy \bullet ijk \Rightarrow CbA \bullet ijk$

Shift-Reduce Parsing

• id * id + id
id • * id + id
id * • id + id
id * id • + id
id * T • + id
T • + id
T + • id
T + id •
T + T •
T + E •
E •

Shift

Shift

Shift

Reduce $T \rightarrow id$

Reduce $T \rightarrow id * T$

Shift

Shift

Reduce $T \rightarrow id$

Reduce $E \rightarrow T$

Reduce $E \rightarrow T + E$

$E \rightarrow T + E$

$E \rightarrow T$

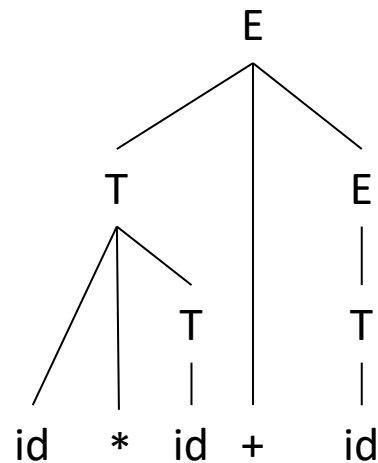
$T \rightarrow id$

$T \rightarrow id * T$

$T \rightarrow (E)$

Shift-Reduce Parsing

• id * id + id
id • * id + id
id * • id + id
id * id • + id
id * T • + id
T • + id
T + • id
T + id •
T + T •
T + E •
E •



$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow id$

$T \rightarrow id * T$

$T \rightarrow (E)$

Stack

- Left part of the string is implemented by a stack
 - Top of the stack is left of the •
- Shift pushes a terminal on the stack
- Reduce
 - Pops 0 or more symbols off of the stack (rhs of one rule from the CFG)
 - Pushes a non-terminal on the stack (lhs of one rule from the CFG)

Conflicts

- In a given state, more than one action (shift/reduce) may lead to different valid parse
- If it is legal to either shift or reduce: **shift-reduce** conflict
 - Can be fixed (precedence and associativity declaration)
- If it is legal to reduce by two different rules: **reduce-reduce** conflict
 - There is ambiguity in the grammar
 - Might be fixed by additional lookahead

When to shift/reduce?

- Consider step $\text{id} \bullet * \text{id} + \text{id}$
- Shift action: $\text{id} * \bullet \text{id} + \text{id}$
- Reduce action: reduce by $T \rightarrow \text{id}$ giving $T \bullet * \text{id} + \text{id}$
- It causes fatal error:
 - No way to reduce to the start symbol E
- Reduce is possible, but it is **not a valid action**

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow \text{id}$

$T \rightarrow \text{id} * T$

$T \rightarrow (E)$

Q: For the same input $\text{id} * \text{id} + \text{id}$ find another shift/reduce choice in the derivation where a shift over reduce leads to $\text{id} * E$ which cannot be reduced further.

Viable Prefix and Handle

- Intuition: reduce only if we can eventually reach the start symbol
- Assume a rightmost derivation
 - $S \Rightarrow^* \alpha X \beta \Rightarrow \alpha w \beta$
← reduction
- Then αw is a **viable prefix** of $\alpha w \beta$
 - A handle w is valid if we can reduce w to X
 - We only reduce a **handle**
- A **handle** *always* appears on **top of the stack**, never inside

Bottom-up Shift-Reduce Parsing Algorithms

- LR(k) parsing:
 - L: scan input Left-to-right
 - R: produce Rightmost derivation
 - k: tokens of lookahead (k=1 is sufficient)
- LR(0): zero tokens of lookahead
- SLR: Simple LR, similar to LR(0), but uses Follow sets
- LALR(k)
- These algorithms work with left- or right-recursive grammars

Recognizing a Viable Prefix

- LR parsing algorithms are based on recognizing viable prefixes
- We can identify viable prefixes only for a subset of CFGs
- Adding lookahead helps: (0) or (1) or (k) symbols of lookahead
- For this subset of CFGs, LR parsing is a deterministic linear-time algorithm.

Hierarchy of grammars

