


# Lexical Analysis

CMPT 379: Compilers

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[anoopsarkar.github.io/compilers-class](https://anoopsarkar.github.io/compilers-class)

# Building a Lexical Analyzer

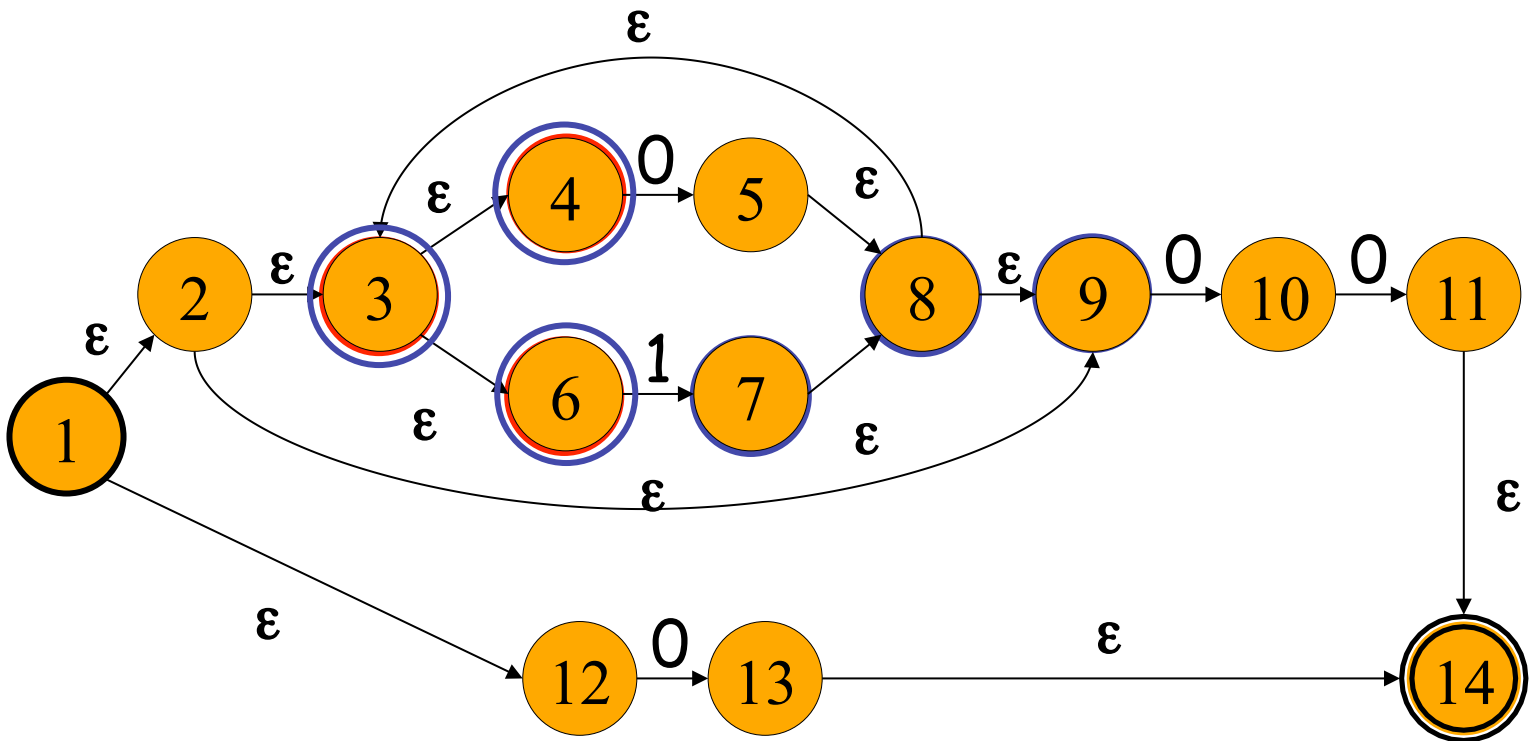
- Token  $\Rightarrow$  Pattern
- Pattern  $\Rightarrow$  Regular Expression
- Regular Expression  $\Rightarrow$  NFA
-  • NFA  $\Rightarrow$  DFA
- DFA  $\Rightarrow$  Table-driven implementation of DFA

# $\epsilon$ -closure

$\epsilon$ -closure(s)= all states reached by following only  $\epsilon$ -transitions

$$\varepsilon\text{-closure}(3) = \{3, 4, 6\}$$

$$\varepsilon\text{-closure}(7) = \{7, 8, 9, 3, 4, 6\}$$

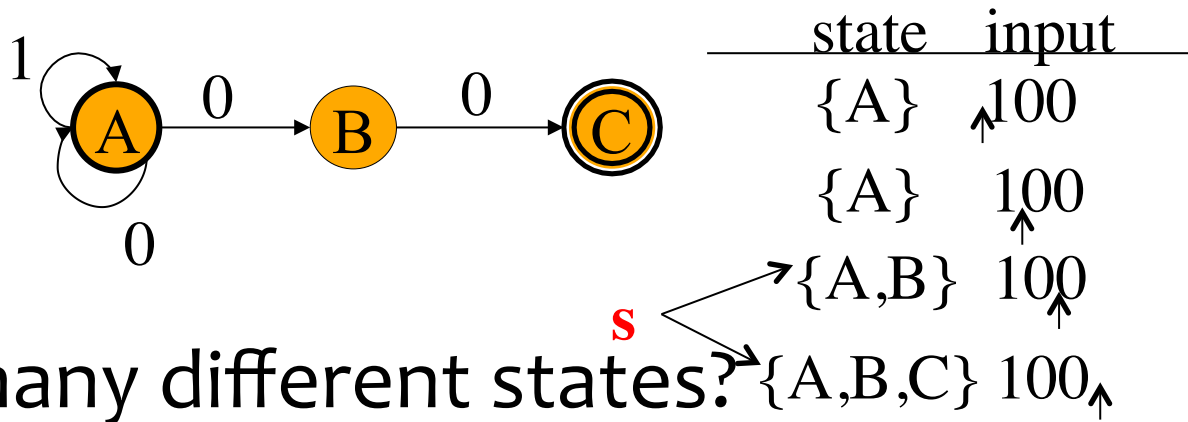

$$((0|1)^*00)|0$$

# $\epsilon$ -Closure (T: set of states)

```
push all states in T onto stack  
initialize  $\epsilon$ -closure(T) to T  
while stack is not empty do begin  
    pop t off stack  
    for each state u with  $u \in \text{move}(t, \epsilon)$  do  
        if  $u \notin \epsilon\text{-closure}(T)$  do begin  
            add u to  $\epsilon\text{-closure}(T)$   
            push u onto stack  
        end  
    end  
end
```

# Simulating NFAs

- An NFA may be in many states at any time



- How many different states?

$|S| = N$

No. of states

$|s| \leq N$

possible states in  
each step

$2^N - 1$

Non-empty subsets

# NFA to DFA Conversion

## NFA

## DFA

- states
- start
- final
- transition

$S$

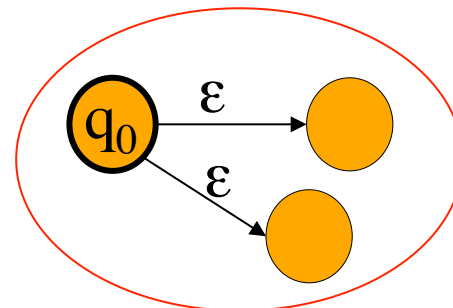
$X \subseteq S$

$q_0$

$\varepsilon\text{-closure}(q_0)$

$F \subseteq S$

$\delta(x, a) = Y$



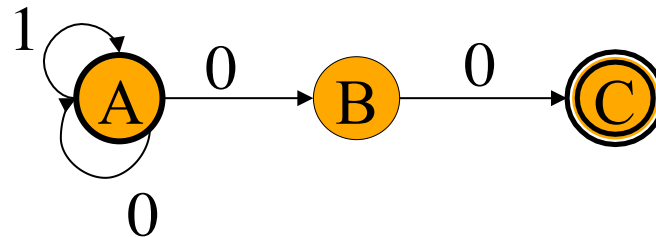
# NFA to DFA Conversion

	NFA	DFA										
• states	$S$	$X \subseteq S$										
• start	$q_0$	$\epsilon\text{-closure}(q_0)$										
• final	$F \subseteq S$	$\{X \mid X \cap F \neq \emptyset\}$										
• transition	$\delta(x, a) = Y$	<table> <tr> <th>state</th> <th>input</th> </tr> <tr> <td><math>\{A\}</math></td> <td><math>\begin{matrix} \uparrow \\ 100 \end{matrix}</math></td> </tr> <tr> <td><math>\{A\}</math></td> <td><math>\begin{matrix} 100 \\ \uparrow \end{matrix}</math></td> </tr> <tr> <td><math>\{A, B\}</math></td> <td><math>\begin{matrix} 100 \\ \uparrow \end{matrix}</math></td> </tr> <tr> <td><math>\{A, B, C\}</math></td> <td><math>\begin{matrix} 100 \\ \uparrow \end{matrix}</math></td> </tr> </table>	state	input	$\{A\}$	$\begin{matrix} \uparrow \\ 100 \end{matrix}$	$\{A\}$	$\begin{matrix} 100 \\ \uparrow \end{matrix}$	$\{A, B\}$	$\begin{matrix} 100 \\ \uparrow \end{matrix}$	$\{A, B, C\}$	$\begin{matrix} 100 \\ \uparrow \end{matrix}$
state	input											
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$\{A, B, C\}$	$\begin{matrix} 100 \\ \uparrow \end{matrix}$											

```

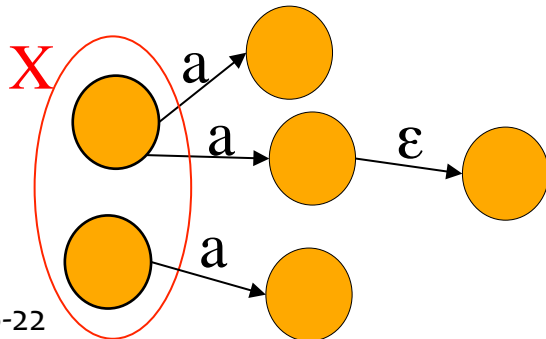
graph LR
    A((A)) -- 1 --> A
    A -- 0 --> B((B))
    B -- 0 --> C(((C)))
  
```

16-06-22



# NFA to DFA Conversion

	NFA	DFA
• states	$S$	$X \subseteq S$
• start	$q_0$	$\epsilon\text{-closure}(q_0)$
• final	$F \subseteq S$	$\{X \mid X \cap F \neq \emptyset\}$
• transition	$\delta(x, a) = Y$	$\delta(X, a) = \bigcup_{x \in X} \delta(x, a)$



$\epsilon\text{-closure}(\delta(X, a))$

**DFAedge(X, a) =  $\epsilon$**

$\text{--closure}(\bigcup_{x \in X} \delta(x, a))$



# DFA construction

$Dstates = \{\}, Dtrans = []$

add  $\varepsilon$ -closure( $q_0$ ) to  $Dstates$  unmarked

**while**  $\exists$  unmarked  $T \in Dstates$  **do**

mark  $T$ ;

**for** each symbol  $c$  **do**

$U := DFAedge(T, c)$ ;

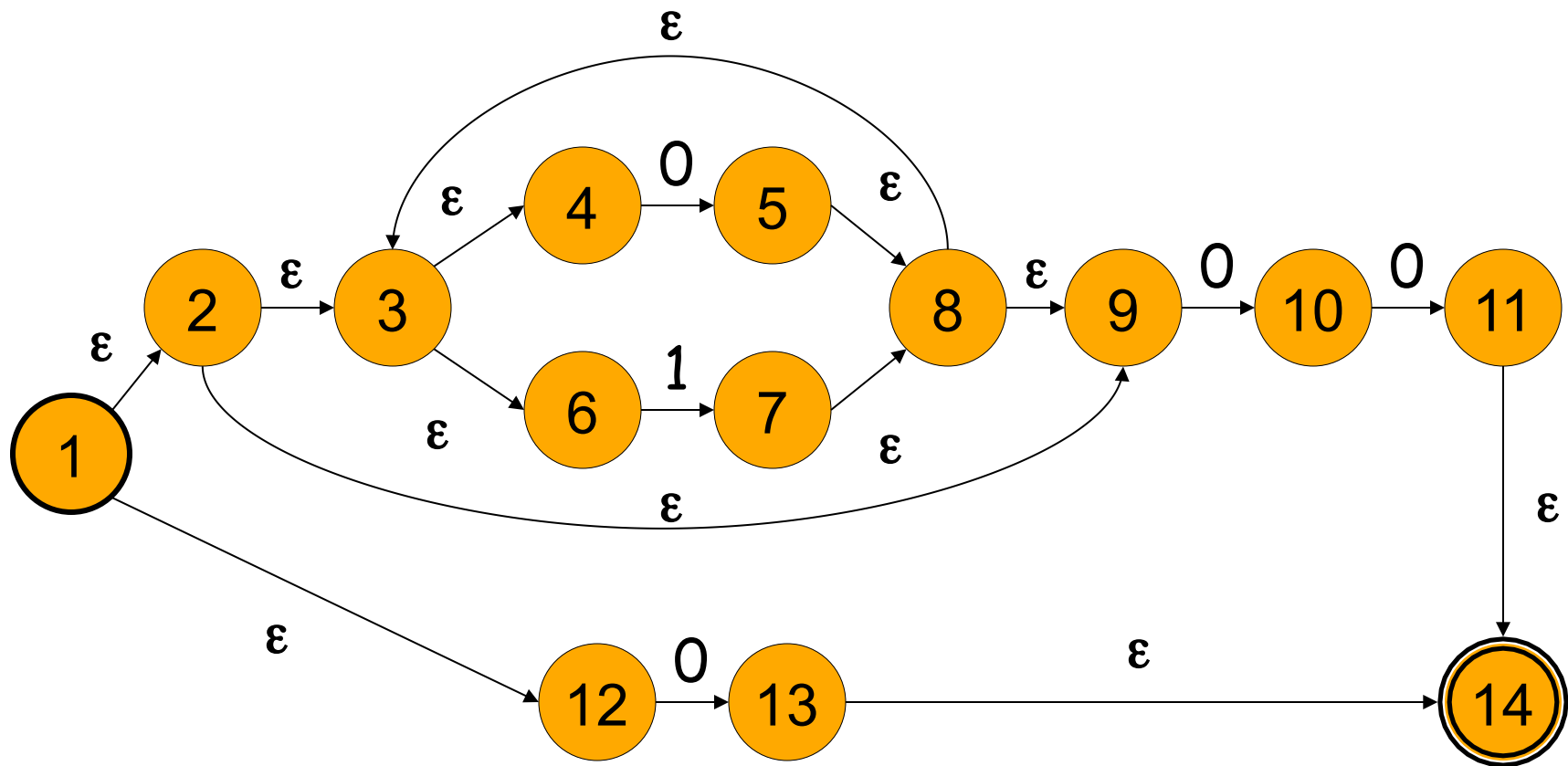
**if**  $U \notin Dstates$  **then**

add  $U$  to  $Dstates$  unmarked

$Dtrans[T, c] := U$ ;

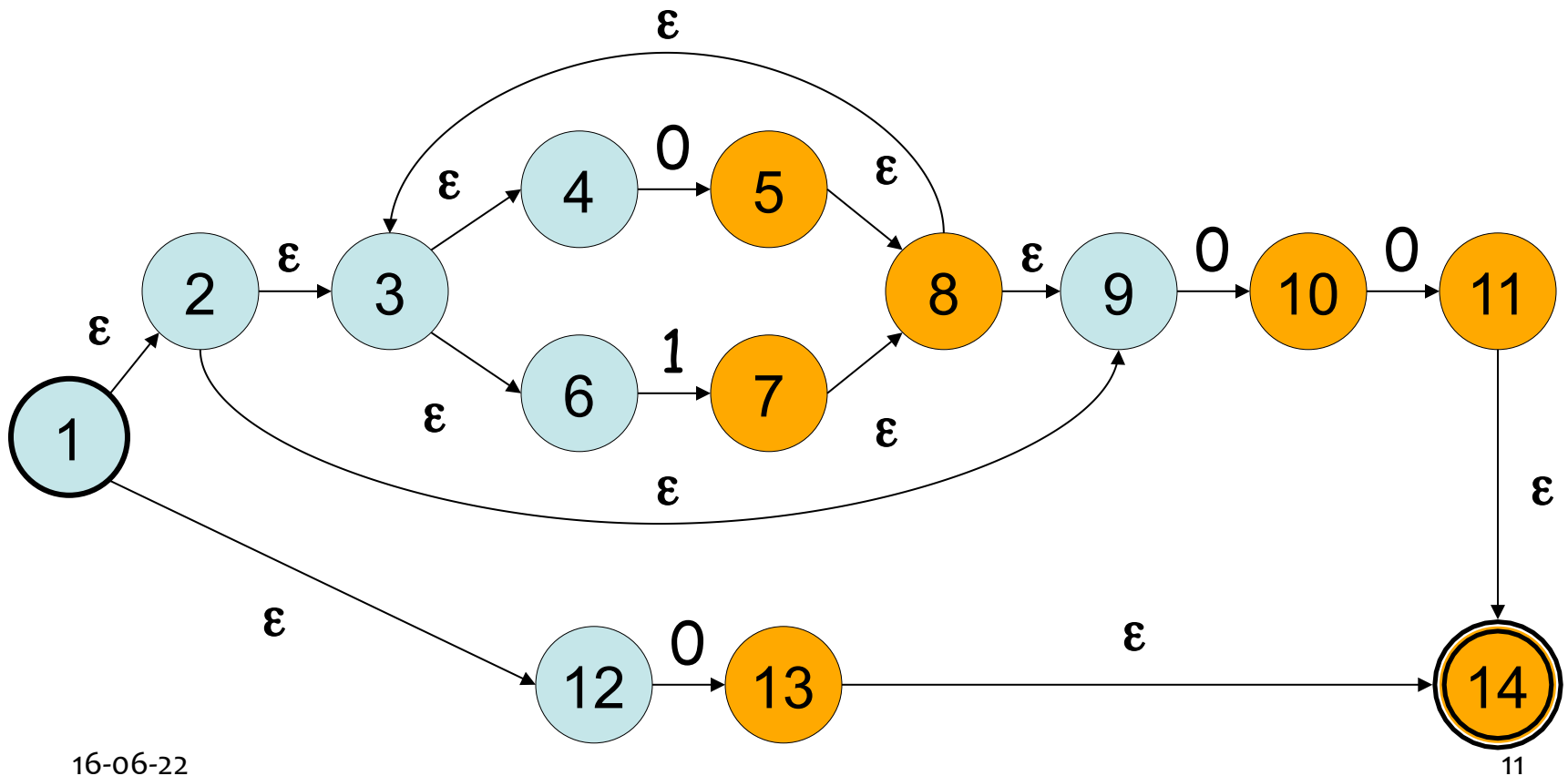
**DFAedge**( $T, c$ ) =  $\varepsilon$   
–closure( $\bigcup_{t \in T} \delta(t, c)$ )  
)

# NFA to DFA



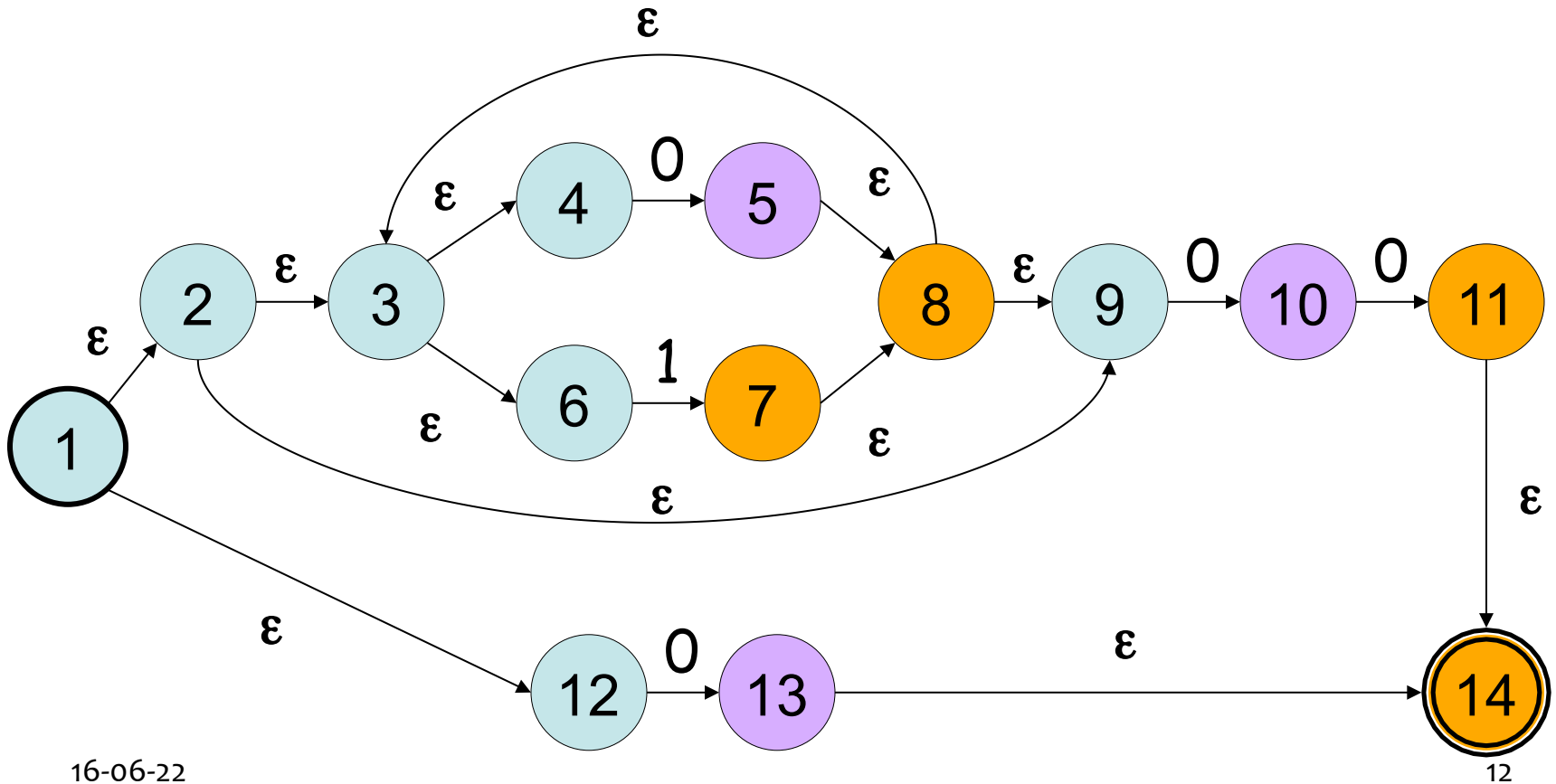
[1, 2,  
3, 4, 6, 9,  
12]

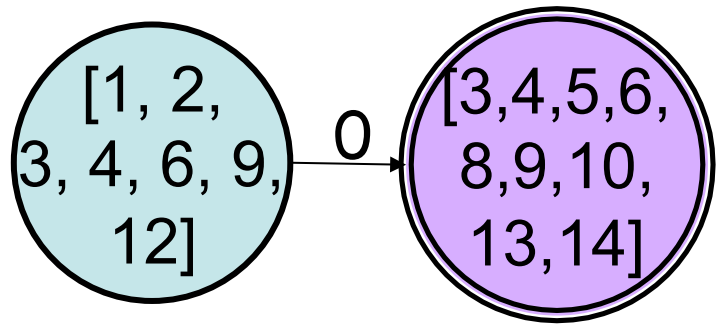
$\epsilon$ -closure( $q_0$ )



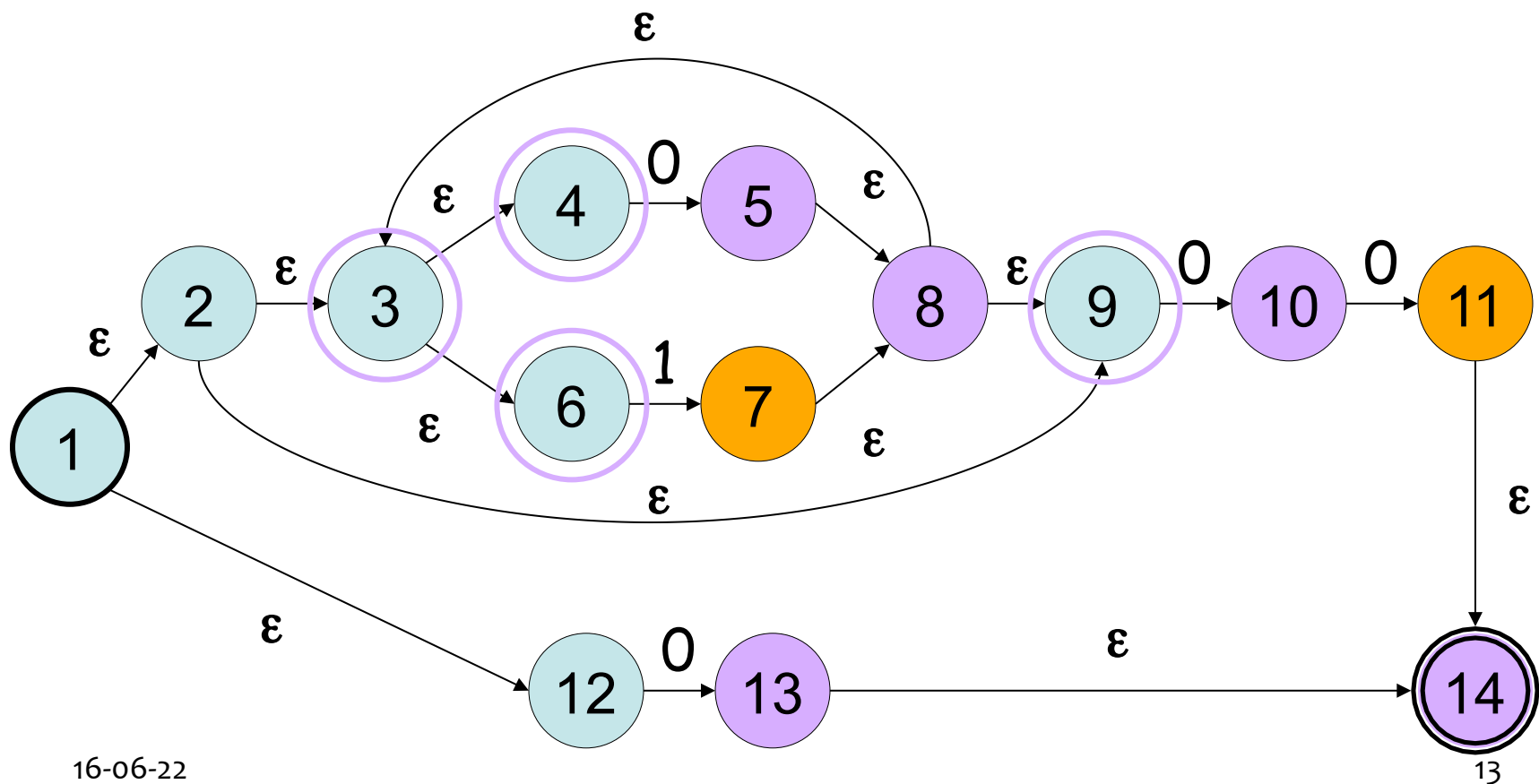
[1, 2,  
3, 4, 6, 9,  
12]

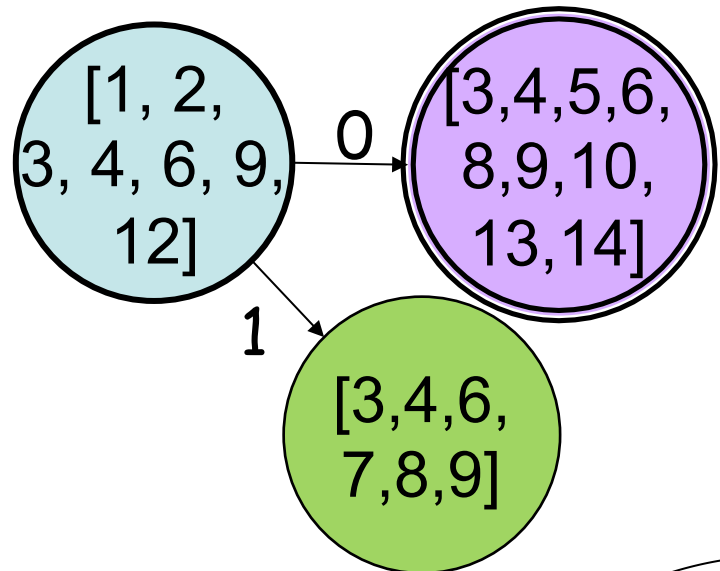
DFAedge( $\epsilon$ -closure( $q_0$ ),  $o$ )



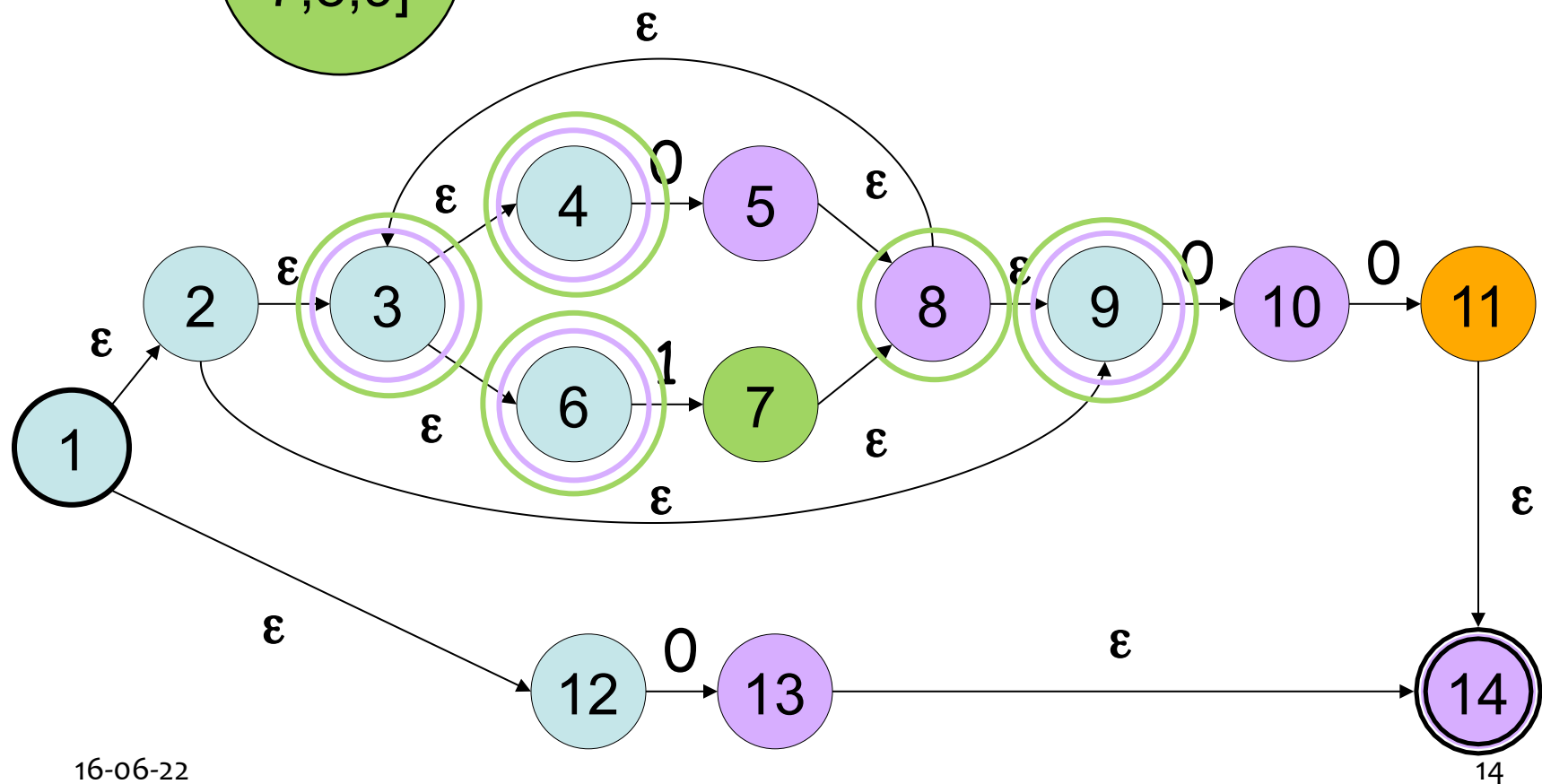


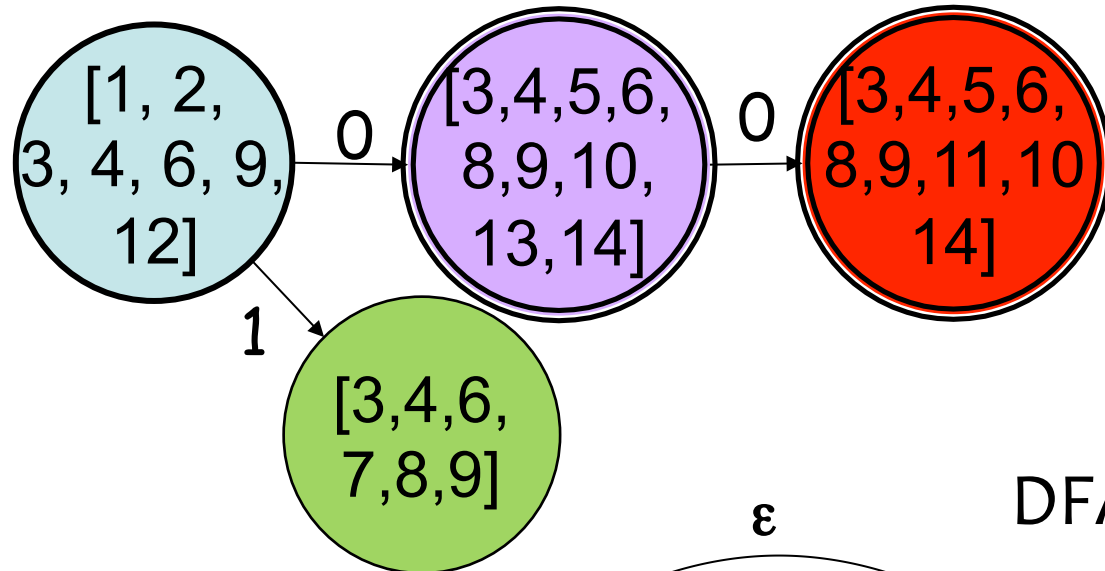
DFAedge( $\epsilon$ -closure( $q_0$ ), 0)



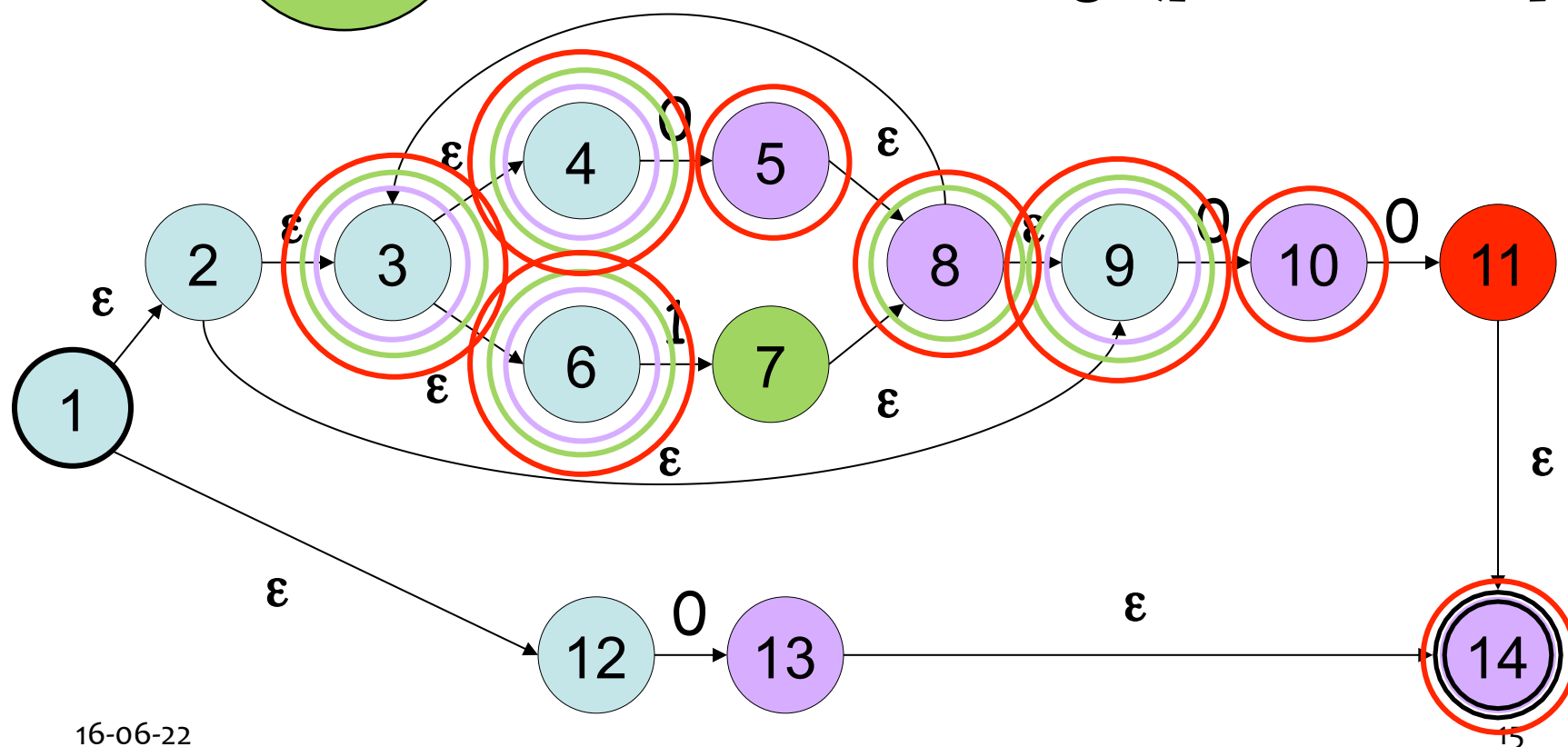


DFAedge( $\epsilon$ -closure( $q_0$ ), 1)

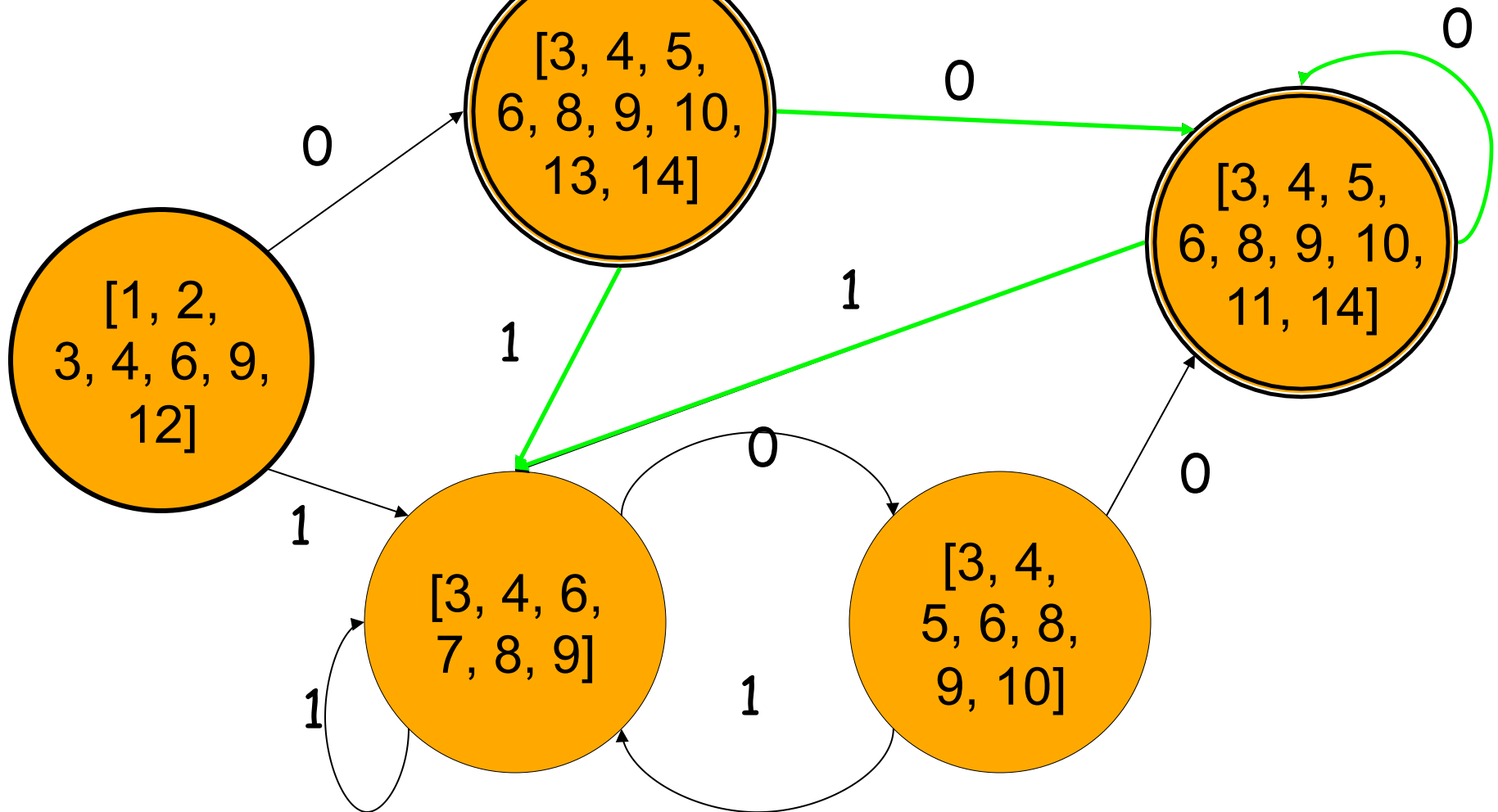




DFAedge( $[3, 4, 5, 6 \dots, 14]$ , 0)

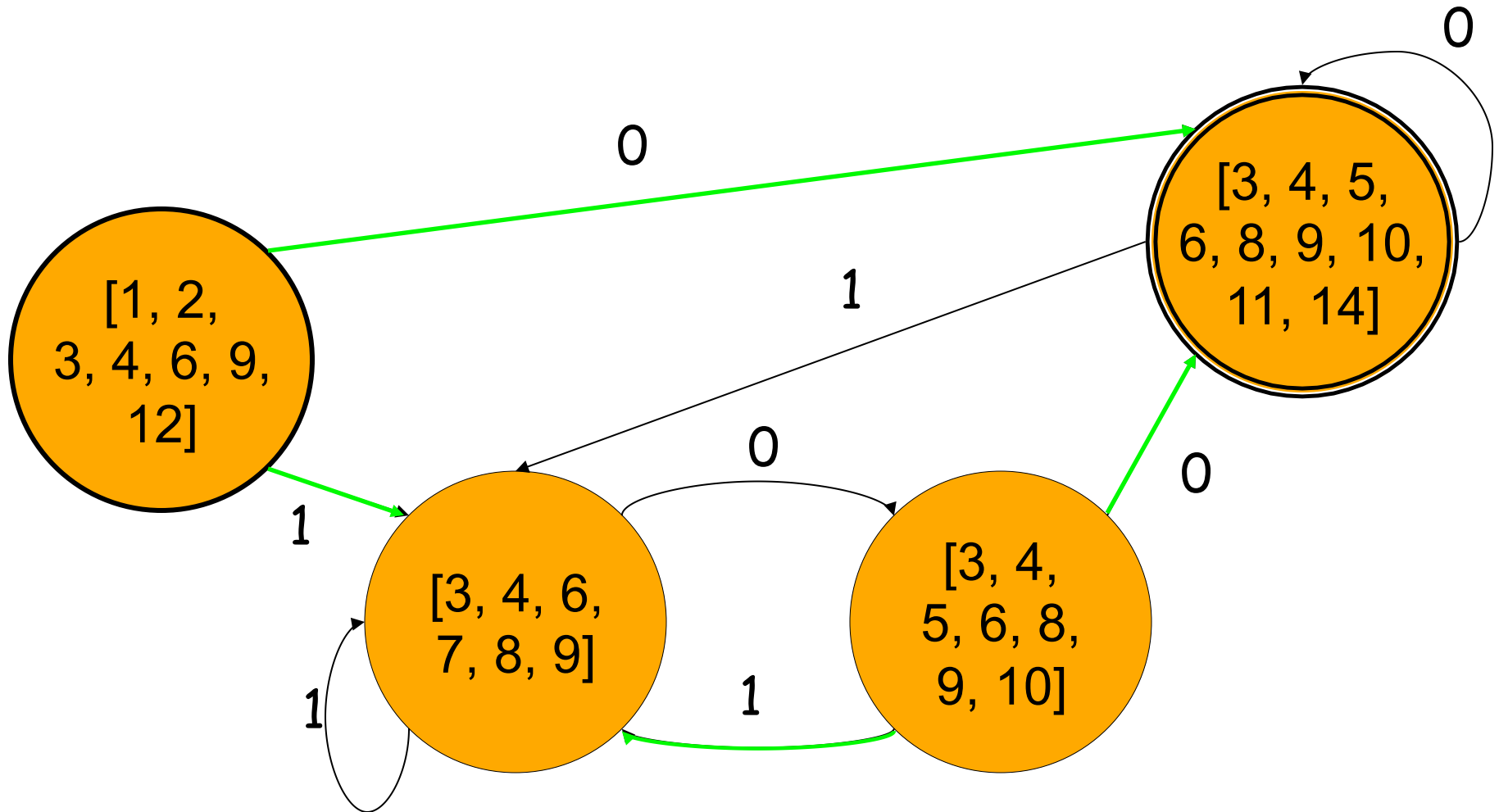


# DFA for $((0|1)^*00)|0$

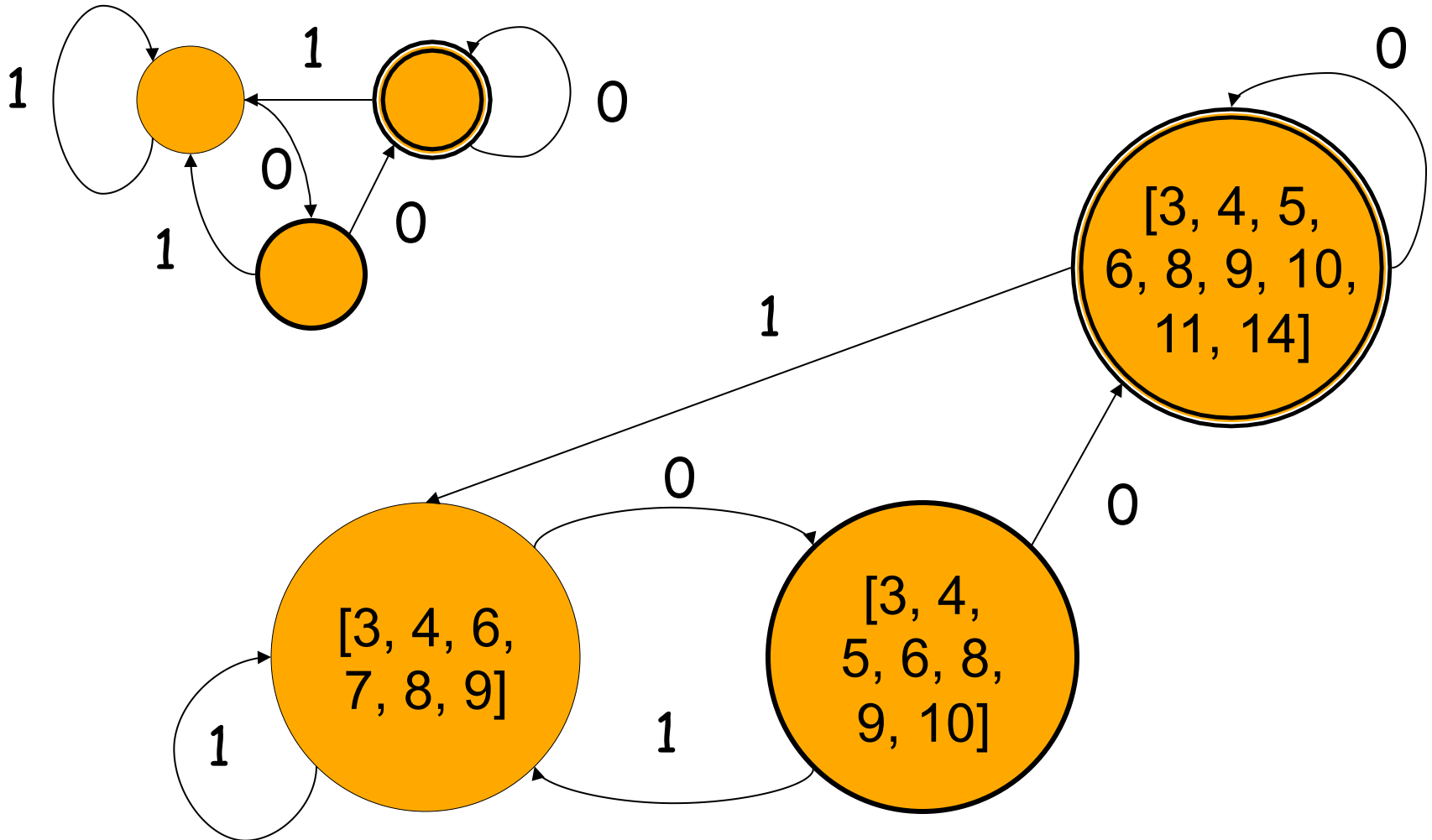




# Minimization of DFAs



# Minimization of DFAs





# NFA to DFA Conversion

- Conversion method closely follows the NFA simulation algorithm
- Instead of simulating, we can collect those NFA states that behave identically on the same input
- Group this set of states to form one state in the DFA

# NFA to DFA

```
states[0] =  $\epsilon$ -closure( $\{q_0\}$ )
p = j = 0
while j  $\leq$  p do
    for each symbol  $c \in \Sigma$  do
        e = DFAedge(states[j], c)
        if e = states[i] for some  $i \leq p$ 
        then    Dtrans[j, c] = i
        else    p = p+1
                states[p] = e
                Dtrans[j, c] = p
    j = j + 1
```