Model Compression Advanced NLP: Summer 2023

Anoop Sarkar

Compressing Large Language Models Reduce memory and compute costs

- There are many different ways to solve the compression problem:
- 1. Distillation: train a small lightweight student model on the output of a large teacher model.
- 2. Pruning: Use an importance criterion to prune weights, prune layers, prune attention heads, etc.
 - 3. **Reduce precision** of the weights: FP16, int8, etc.
 - 4. Low rank factorization of weight matrices.
 - 5. Weight sharing (ALBERT).

https://doi.org/10.1162/tacl a 00413



Distillation

Distilling the Knowledge in a Neural Network

Geoffrey Hinton, Oriol Vinyals, Jeff Dean, NIPS 2014 DL workshop

https://arxiv.org/abs/1503.02531

See also: Bucila, Caruana, and Niculescu-Mizil. Model compression. In KDD, 2006.

Large Language Models Are they necessary?

- Scaling to larger language models has led to improved zero-shot and fewshot accuracy on many NLP tasks.
- All modern deep learning models are heavily over-parameterized compared to the dataset size they train on.
- Smaller models by themselves do not give the same accuracy.
- Deployment of LLMs is challenging from a compute cost perspective.
- "Distill" a student model by training it on the output of a "teacher" model (a LLM).

Standard setup for training a language model

- Minimize the log likelihood loss for prediction.
- Find parameters θ to minimize loss \mathscr{L} :

$$\mathcal{L} = -\sum_{t} \sum_{k \in \mathcal{V}} \delta(x_t = k) \log p(w$$

 W_t is the softmax over the vocabulary \mathcal{V}

 x_t is the ground truth target token; x is the sentence

 $\delta(p) = 1$ if p is true and 0 otherwise



Knowledge Distillation Can be used for pre-training or fine-tuning

- Train a larger teacher model (massive LLM models) to get a teacher distribution over outputs $q(\;\cdot\;)$ with parameters θ_T
- Train a smaller student model $p(\cdot)$ to mimic the teacher
- The student model has parameters $\theta << \theta_T$

Word level distillation for training a language model

- Teacher distribution: $q(w_t | \mathbf{x}_{1:t-1}; \theta_T)$
- **Standard** loss:

$$\mathcal{L} = -\sum_{t} \sum_{k \in \mathcal{V}} \delta(x_t = k) \log p(w_t = k \mid \mathbf{x}_{1:t-1}; \theta)$$

• **Distillation** loss (uses **cross entropy** between p and q):

$$\mathcal{L}_D = -\sum_t \sum_{k \in \mathcal{V}} q(w_t = k \mid \mathbf{x}_{1:t-1};$$

 $\delta(p) = 1$ if p is true and 0 otherwise



 θ_T)log $p(w_t = k \mid \mathbf{x}_{1 \cdot t-1}; \theta)$

No Knowledge Distillation



Word Level Knowledge Distillation



Combine standard loss and distillation loss

 $\mathscr{L}_C = \alpha \mathscr{L} + (1 - \alpha) \mathscr{L}_D$



Soft targets

• Standard method to compute $p(w_t = k \mid \mathbf{x}_{1:t-1}; \theta)$

$$p_k = \frac{\exp(z_k)}{\sum_i \exp(z_i)}$$

 z_i are the logits used to compute the softmax

Divide the logits by a temperature parameter to get a softer distribution

$$p_k = \frac{\exp(\frac{z_k}{T})}{\sum_i \exp(\frac{z_i}{T})}$$

Soft targets

• Gradient wrt z_k

$$\frac{\partial \mathscr{L}_D}{\partial z_k} = \frac{1}{T} (q_k - p_k) = \frac{1}{T} \left(\frac{\exp(\frac{z_k}{T})}{\sum_i \exp(\frac{z_i}{T})} - \frac{\exp(\frac{v_k}{T})}{\sum_i \exp(\frac{v_i}{T})} \right) \approx \frac{1}{T^2} (z_k - v_k)$$

 z_i , v_i are the logits used to compute respectively

assuming the logits are zero-meaned

 z_i , v_i are the logits used to compute the softmax for the teacher and student

d, i.e.
$$\sum_{i} z_i = 0$$
 and $\sum_{i} v_i = 0$

Soft targets

COW	dog	cat	
0	1	0	
COW	dog	cat	
10 ⁻⁶	.9	.1	
COW	dog	cat	
.05	.3	.2	

"Softened outputs reveal the dark knowledge in the teacher distribution"

Fig from Hinton's "Dark Knowledge" talk slides



Soft targets from BERT output distribution

Input:	['[CLS]',	'i', 'think',	'this', 'i	s', 'the',
Rank 0	– Token:	day	- Prob:	0.21348
Rank 1	– Token:	life	- Prob:	0.18380
Rank 2	– Token:	future	- Prob:	0.06267
Rank 3	– Token:	story	- Prob:	0.05854
Rank 4	– Token:	world	– Prob:	0.04935
Rank 5	– Token:	era	- Prob:	0.04555
Rank 6	– Token:	time	- Prob:	0.03210
Rank 7	– Token:	year	- Prob:	0.01722
Rank 8	– Token:	history	– Prob:	0.01663
Rank 9	– Token:	summer	- Prob:	0.01335
Rank 10) – Token:	adventure	– Prob:	0.01233
Rank 11	L – Token:	dream	- Prob:	0.01209
Rank 12	2 – Token:	moment	- Prob:	0.01129
Rank 13	3 – Token:	night	- Prob:	0.01084
Rank 14	I – Token:	beginning	– Prob:	0.00937
Rank 15	5 – Token:	season	- Prob:	0.00664
Rank 16	5 – Token:	journey	- Prob:	0.00621
Rank 17	7 – Token:	period	- Prob:	0.00553
Rank 18	3 – Token:	relationship	- Prob:	0.00517
Rank 19) – Token:	thing	- Prob:	0.00508

Fig from https://medium.com/huggingface/distilbert-8cf3380435b5

'beginning', 'of', 'a', 'beautiful', '[MASK]', '.', '[SEP]']



Distillation training step

1	<pre>import torch</pre>
2	<pre>import torch.nn</pre>
3	<pre>import torch.nn</pre>
4	<pre>from torch.opti</pre>
5	
6	<pre>KD_loss = nn.KL</pre>
7	
8	<pre>def kd_step(tea</pre>
9	stu
10	tem
11	inp
12	opt
13	teacher.eva
14	<pre>student.tra</pre>
15	
16	with torch.
17	logits_
18	logits_s =
19	
20	loss = KD_l
21	
22	
23	loss.backwa
24	optimizer.s
25	optimizer.z

Fig from https://medium.com/huggingface/distilbert-8cf3380435b5

```
as nn
.functional as F
lm import Optimizer
```

```
.DivLoss(reduction='batchmean')
```

```
cher: nn.Module,
dent: nn.Module,
perature: float,
outs: torch.tensor,
imizer: Optimizer):
al()
in()
no_grad():
t = teacher(inputs=inputs)
student(inputs=inputs)
.oss(input=F.log_softmax(logits_s/temperature, dim=-1),
    target=F.softmax(logits_t/temperature, dim=-1))
rd()
tep()
ero_grad()
```

Soft targets and combined loss

 $\mathscr{L}_C = \alpha \mathscr{L} + (1 - \alpha) \mathscr{L}_D$

Temperature is set to 1 for Ground Truth

Higher temperature used for Teacher Distribution





Model Size and Computations

Distillation of BERT models



https://doi.org/10.1162/tacl a 00413

Distilbert

- "Our student is a small version of BERT in which we removed the token-type of two."
- Why not reduce the hidden size as well?
 - inference time, more than the hidden size."
- Using L2 loss instead of cross-entropy loss?
 - "cross-entropy loss leads to significantly better performance"
- Initialization is important
 - common hidden size between student and teacher."

https://medium.com/huggingface/distilbert-8cf3380435b5

embeddings and the pooler (used for the next sentence classification task) and kept the rest of the architecture identical while reducing the numbers of layers by a factor

• "In our experiments, the number of layers was the determining factor for the

• "We thus initialize our student ... by taking one layer out of two, leveraging the

Distilbert

	Macro	CoLA	MNLI	MNLI MNLI-MM		MRPC		
	Score	mcc	acc	acc	acc	f1	ac	
GLUE BASELINE (ELMo + BiLSTMs)	68.7	44.1	68.6 (avg)		70.8	82.3	71.	
BERT base	78.0	55.8	83.7	84.1	86.3	90.5	91.	
DistilBERT	75.2	42.5	81.6	81.1	82.4	88.3	85.	

QQP		RTE	SST-2	S	ГЅ-В	WNL	
	acc	f1	acc	acc	pearson	spearmanr	acc
	88.0	84.3	53.4	91.5	70.3	70.5	56.3
	90.9	87.7	68.6	92.1	89.0	88.6	43.7
	90.6	87.7	60.0	92.7	84.5	85.0	55.6



	Nb of parameters (millions)	Inference Time (s)
s)	180	895
	110	668
	66	410





Distillation of BERT models Different ways to distill information from a teacher

- Distillation during fine-tuning:
 - On SQuAD 1.1 (QA task) BERT gets 88.5 F1 and DistilBERT gets 85.1
 - Fine-tuning DistilBERT on the QA task using a fine-tuned BERT model gets 86.2 F1.
- Distillation from Output Logits
- Distillation from Encoder Outputs (distil each layer)
- Distillation from Attention Maps (attn is softmax so can be easily distilled)

Pruning

THE LOTTERY TICKET HYPOTHESIS: FINDING SPARSE, TRAINABLE NEURAL NETWORKS

Jonathan Frankle MIT CSAIL jfrankle@csail.mit.edu

The Lottery Ticket Hypothesis. A randomly-initialized, dense neural network contains a subnetwork that is initialized such that—when trained in isolation—it can match the test accuracy of the original network after training for at most the same number of iterations.

https://arxiv.org/abs/1803.03635

Michael Carbin MIT CSAIL mcarbin@csail.mit.edu



Identifying winning tickets Using iterative pruning

- We are training a large neural network f using training data x
 - 1. Randomly initialize $f(x; \theta_0)$ where $\theta_0 \in \mathscr{D}_{\theta}$ (distribution over parameters)
 - 2. Train the network for j iterations, finding parameters θ_i
 - 3. Prune p% of the parameters in θ_i with smallest magnitude creating a mask m
 - 4. Reset remaining parameters to values from θ_0 creating the winning ticket $f(x; m \odot \theta_0)$
- Then repeat: retrain f and prune p% of the parameters iteratively for n rounds

Identifying winning tickets in BERT

shuffled pre-trained weights.

Dataset	MNLI	QQP	STS-B	WNLI	QNLI	MRPC	RTE	SST-2	CoLA	SQuAD	M
Sparsity	70%	90%	50%	90%	70%	50%	60%	60%	50%	40%	7
Full BERT _{BASE}	82.4 ± 0.5	90.2 ± 0.5	88.4 ± 0.3	54.9 ± 1.2	89.1 ± 1.0	85.2 ± 0.1	66.2 ± 3.6	92.1 ± 0.1	54.5 ± 0.4	88.1 ± 0.6	63.5
$f(x, m_{ ext{IMP}} \odot heta_0)$	82.6 ± 0.2	90.0 ± 0.2	88.2 ± 0.2	54.9 ± 1.2	88.9 ± 0.4	84.9 ± 0.4	66.0 ± 2.4	91.9 ± 0.5	53.8 ± 0.9	87.7 ± 0.5	63.2
$f(x,m_{ m RP}\odot heta_0) $	67.5	76.3	21.0	53.5	61.9	69.6	56.0	83.1	9.6	31.8	3
$f(x, m_{\mathrm{IMP}} \odot \theta'_0)$	61.0	77.0	9.2	53.5	60.5	68.4	54.5	80.2	0.0	18.6	1
$f(x,m_{ ext{IMP}}\odot heta_0^{\prime\prime})$	70.1	79.2	19.6	53.3	62.0	69.6	52.7	82.6	4.0	24.2	4

https://arxiv.org/abs/2007.12223

Table 2: Performance of subnetworks at the highest sparsity for which IMP finds winning tickets on each task. To account for fluctuations, we consider a subnetwork to be a winning ticket if its performance is within one standard deviation of the unpruned BERT model. Entries with errors are the average across five runs, and errors are the standard deviations. IMP = iterative magnitude pruning; RP = randomly pruning; θ_0 = the pre-trained weights; θ'_0 = random weights; θ''_0 = randomly





Identifying winning tickets in BERT

Table 3: Performance of subnetworks found using IMP with rewinding to the steps in the left column and standard pruning (where subnetworks are trained using the final weights from the end of training).

Dataset	MNLI	QQP	STS-B	WNLI	QNLI	MRPC	RTE	SST-2	CoLA	SQuAD	MI
Sparsity	70%	90%	50%	90%	70%	50%	60%	60%	50%	40%	70
Full BERT _{BASE}	82.39	90.19	88.44	54.93	89.14	85.23	66.16	92.12	54.51	88.06	63
Rewind 0% (i.e., θ_0)	82.45	89.20	88.12	54.93	88.05	84.07	66.06	91.74	52.05	87.74	63
Rewind 5%	82.99	88.98	88.05	54.93	88.85	83.82	62.09	92.43	53.38	87.78	63
Rewind 10%	82.93	89.08	88.11	54.93	89.02	84.07	62.09	92.66	52.61	87.77	63
Rewind 20%	83.08	89.21	88.28	55.75	88.87	85.78	61.73	92.89	52.02	87.36	63
Rewind 50%	82.94	89.54	88.41	53.32	88.72	85.54	62.45	92.66	52.20	87.26	64
Standard Pruning	82.11	89.97	88.51	52.82	89.88	85.78	62.95	90.02	52.00	87.12	63

https://arxiv.org/abs/2007.12223

Also see: https://aclanthology.org/ 2020.emnlp-main.259/





https://arxiv.org/abs/2002.11794



https://arxiv.org/abs/2002.11794





